IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HUANG et al.

Continuation of Appln. No. 10/270,313

Confirmation No. Not Yet Assigned

Filed: December 16, 2003

For: METHOD FOR TREATMENT OF

TUMORS USING

NORDIHYDROGUAIARETIC

ACID DERIVATIVES

Art Unit: 1614

Examiner: James H. Reamer

Atty. Docket No.: 2240-199065

Customer No.:

26694

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents that are listed on the attached PTO-1449 forms. All of the listed references are of record in parent Application No. 10/270,313 where copies may be obtained in accordance with customary PTO practice. Applicants request that these documents be considered and listed on the face of any patent granted in this application.

Respectfully submitted,

Date: 12/16/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2

Complete if Known			
Application Number	Continuation of Appln. 10/270,313		
Filing Date	December 16, 2003		
First Named Inventor	Ru Chih C. HUANG et al.		
Group Art Unit	1614		
Examiner Name	Mr. James H. Reamer		
Attorney Docket Number	2240-199065		

Sileet	1 1	01	2	Attorney Docket Number 224		<u> </u>	0-199065
				sU.S. PATENT DOCU	MENTS		
Examiner Initials *	Cite No. ¹	U.S. Patent Doc Number Kind (if kno	Code ²	Name of Patentee or Applicant of Cited Document	Date of Publica Cited Docum	nent	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
	1	6,365,787		Huang et al.	04/2002 09/1997		
	2	5,663,209		Huang et al.			
	3 6,291,524			Huang et al.	09/200	1	
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	16	6,245,523		Altieri	01/200	1	

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Examin er Initials*	Cite No. ¹	·Office	n Patent [Numbe	Nocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆

Examiner	Date	
	Date	
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Substitut	te for form 1	1449A/PT	O		Complete if Known	
INFORM	IATION DIS	CL OSUE	OF STATEMENT	Application Number	Continuation of Appln. 10/270,313	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 16, 2003	
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(us	se as many	sheets a	s necessary)	Examiner Name	Mr. James H. Reamer	
Sheet	2	Of	2	Attorney Docket Number	2240-199065	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	-					
Examine r Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²					
	17	Russell et al.; "Neoplasm inhibitors comprising metal salts and phenol derivatives"; CA: 111(3)17704R PATENT ABSTRACT						
	18	Rao et al.; "Regioselective cleavage of the methylenedioxy group: conversion f (-)-austrobailignan-5 to (-)-dihydroguaiaretic acid"; CA: 112(23)118499d JOURNAL ABSTRACT						
	19	Giza et al., "A self-inducing runawayreplication plasmid expression system utilizing the ROP protein," Gene 78:73-84 (1989).						
	20	Gnabre et al., "Isolation of anti-HIV-1 lignans from Larrea tridentate counter-current chromatography,", J. Chomatography A, 719: 353-364 (1995).						
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	25	Chen et al., Antiviral Activities of Methylated Nordihydroguaiaretic Acids 2. Targeting Herpes Simplex Virus Replication by Mutation Insensitive Transcription Inhibitor Tetra-Omethyl-NDGA, Journal of Medicinal Chemistry, 1998, Vol. 41, No. 16, pp.301-3007						
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Examiner Signature		Date Considered						

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1 Unique citation designation number. 2 Applicant is to place a check mark here if English language

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